

黎曼几何报告

应数 2101 杨嘉昱 2216113458

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【Definition 1】 A C^∞ map $F : N \rightarrow M$ is said to be an *immersion* (a *submersion*) at $p \in N$ if its differential $dF_p : T_p N \rightarrow T_{F(p)} M$ is injective (surjective).

【Example 2】 The inclusion of \mathbb{R}^n in a higher dimensional \mathbb{R}^m

$$i(x^1, \dots, x^n) = (x^1, \dots, x^n, 0, \dots, 0) \quad (1)$$

is an immersion.

The projection of \mathbb{R}^n onto a lower-dimensional \mathbb{R}^m

$$\pi(x^1, \dots, x^m, x^{m+1}, \dots, x^n) = (x^1, \dots, x^m) \quad (2)$$

is a submersion.

【Definition 3】 Let $F : N \rightarrow M$ be a smooth map of manifolds. Its *rank* at a point $p \in N$, denoted by $\text{rank} F(p)$, is defined as the rank of the differential $dF_p : T_p N \rightarrow T_{F(p)} M$.

【Remark 4】 Relative to the coordinate neighbourhood (U, x^1, \dots, x^n) at p and (V, y^1, \dots, y^m) at $F(p)$, the differential is represented by the Jacobin matrix $[\partial F^i / \partial x^j(p)]$.

$$\text{i.e. } dF_p \left(\left. \frac{\partial}{\partial x^1} \right|_p, \dots, \left. \frac{\partial}{\partial x^n} \right|_p \right) = \left(\left. \frac{\partial}{\partial y^1} \right|_p, \dots, \left. \frac{\partial}{\partial y^m} \right|_p \right) J_F(p), \quad J_F(p) = \left(\frac{\partial F^j}{\partial x^i}(p) \right) = \left(\left. \frac{\partial y^j \circ F \circ \phi^{-1}}{\partial x^i} \right|_{\phi(p)} \right)$$

In summery, we have

$$\text{rank} F(p) = \dim (dF_p(T_p N)) = \text{rank} \left(\frac{\partial F^j}{\partial x^i}(p) \right)$$

【Theorem 5】 (Constant rank theorem) Let N and M be manifolds of dimensions n and m respectively. Suppose $f : N \rightarrow M$ has constant rank k in a neighbourhood of a point p in N . Then there are charts (U, ϕ) near p in N and (V, ψ) near $f(p)$ in M such that

1. $\psi \circ f \circ \phi^{-1}(r^1, \dots, r^n) = (r^1, \dots, r^k, 0, \dots, 0)$
2. $\phi(p) = \psi(f(p)) = 0$

【Proposition 6】 Let N and M be manifolds of dimensions n and m respectively. If a C^∞ map $f : N \rightarrow M$ is an immersion (submersion) at a point $p \in N$, then it has constant rank n (m) in a neighbourhood of p .

Proof. Let $(U, \phi) = (U, x^1, \dots, x^n)$ be a chart about p in N and $(V, \psi) = (V, y^1, \dots, y^m)$ be a chart about $f(p)$ in M . It follows that the map df can be represented by the matrix $[\partial f^i / \partial x^j(p)]$, where

$$\frac{\partial f^i}{\partial x^j}(p) = \left. \frac{\partial}{\partial x^j} \right|_{\phi(p)} y^i \circ f \circ \phi$$

Hence

$$f \text{ is immersion at } p \iff df_p \text{ injective} \iff n \leq m \text{ and } \text{rank} \left(\frac{\partial f^i}{\partial x^j}(p) \right) = n$$

$$f \text{ is submersion at } p \iff df_p \text{ surjective} \iff n \geq m \text{ and } \text{rank} \left(\frac{\partial f^i}{\partial x^j}(p) \right) = m$$

It follows that

$$f \text{ is immersion or submersion at } p \iff f \text{ has maximal rank at } p.$$

We now assume that f has maximal rank k at p . Consider the subset of U :

$$D_{\max}(f) = \{p \in U : df \text{ has maximal rank at } p\}.$$

Since k is maximal, we have

$$\text{rank}(f)_p = k \iff \text{rank} \left(\frac{\partial f^i}{\partial x^j}(p) \right) = k \iff \text{rank} \left(\frac{\partial f^i}{\partial x^j}(p) \right) \geq kn$$

Thus

$$U \setminus D_{\max}(f) = \left\{ p \in U : \text{rank} \left(\frac{\partial f^i}{\partial x^j}(p) \right) < n \right\}$$

which is equivalent to the vanishing of all $k \times k$ minors of the matrix $[\partial f^i / \partial x^j(p)]$. As the zero set of finitely many continuous functions, $U \setminus D_{\max}(f)$ is closed and thus $D_{\max}(f)$ is open. Then D_{\max} is a neighbourhood of p which has constant rank k . And $k = n$ if f is an immersion, $k = m$ otherwise. \square

Since an immersion or a submersion at p has a constant rank in a neighbourhood of p , by Constant rank theorem, we have:

【Theorem 7】 Let N and M be manifolds of dimensions n and m respectively.

1. **(Immersion theorem)** Suppose $f : N \rightarrow M$ is an immersion at p in N . Then there are charts (U, ϕ) near p and (V, ψ) near $f(p)$ such that in a neighbourhood of $\phi(p)$,

- $\phi \circ f \circ \phi^{-1} (r^1, \dots, r^n) = (r^1, \dots, r^n, 0, \dots, 0)$
- $\phi(p) = \psi(f(p)) = 0$

2. **(Submersion theorem)** Suppose $f : N \rightarrow M$ is a submersion at p in N . Then there are charts (U, ϕ) near p and (V, ψ) near $f(p)$ such that in a neighbourhood of $\phi(p)$,

- $\phi \circ f \circ \phi^{-1} (r^1, \dots, r^m, r^{m+1}, \dots, r^n) = (r^1, \dots, r^m)$
- $\phi(p) = \psi(f(p)) = 0$